



231/003
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

THOMAS KIPPS, et al.

Serial No. 08/982,272

Filed: December 1, 1997

For: NOVEL EXPRESSION VECTORS
CONTAINING ACCESSORY
MOLECULE LIGAND GENES AND
THEIR USE FOR
IMMUNOMODULATION AND
TREATMENT OF
MALIGNANCIES AND
AUTOIMMUNE DISEASE

Group Art Unit: 1642

Examiner: To be assigned

March 9, 1998

RECEIVED

MAR 13 1998

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Attention: Box Missing Parts
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in the above-referenced application are the
following:

- Submission of Missing Parts and Related Application Papers;

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

March 9, 1998
date of deposit

Jeanette M. Olivera
name of person mailing paper

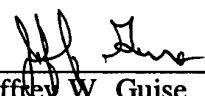
Jeanette M. Olivera
signature of person mailing paper

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Patent

- Combined Declaration and Power of Attorney;
- Copy of Notice to File Missing Parts of Application;
- Check in the amount of \$65.00; and
- Return postcard.

Respectfully submitted,

LYON & LYON LLP

By 
Jeffrey W. Guise
Reg. No. 34,613

First Interstate World Center
633 West Fifth Street, 47th Floor
Los Angeles, CA 90071-2066
Telephone: (619) 552-8400
Facsimile: (213) 955-0440



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:) Group Art Unit: 1642
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THOMAS KIPPS et al.) Examiner: To be assigned
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Serial No. 08/982,272)
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For: NOVEL EXPRESSION VECTORS)
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LIGAND GENES AND THEIR USE FOR)
IMMUNOMODULATION AND)
TREATMENT OF MALIGNANCIES AND)
AUTOIMMUNE DISEASE)

SUBMISSION OF MISSING PARTS AND RELATED APPLICATION PAPERS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION**, which was mailed on February 20, 1998 enclosed are:

- ☒ [X] Combined Declaration and Power of Attorney
- ☐ [] Recordation Form Cover Sheet (Patents Only)
- ☐ [] Assignment of the Invention to _____
- ☐ [] A Verified Statement to establish "SMALL ENTITY STATUS" under 37 CFR 1.9(f) and 1.27(c).
- ☒ [X] Copy of Notice to File Missing Parts

1. REQUEST FOR EXTENSION OF TIME:

- ☐ [] Applicant requests an **Extension of Time** of ___ month to respond; the requisite fee has been calculated as shown below:

		Small Entity	Large Entity
<input type="checkbox"/> []	1 month	\$ 55.00	\$ 110.00

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

March 9, 1998
date of deposit

Jeanette M. Olivera
name of person mailing paper
Jeanette M. Olivera
signature of person mailing paper

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[]	2 months	\$ 200.00	\$ 400.00
[]	3 months	\$ 475.00	\$ 950.00
[]	4 months	\$ 755.00	<u>\$1510.00</u>
	Total Fee Due	\$ <u> .00</u>	\$ <u> .00</u>

2. **THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:**

BASIC FILING FEE	\$790.00
Total Claims: ___ - (20) = ___ x \$ 22 (extra fee charge) =	\$.00
Independent Claims: ___ - (3) = ___ x \$ 82 (extra fee charge)	\$ 00
Multiple Dependent Claims <u>1</u> \$270 (if applicable) =	\$.00
Surcharge set forth in 37 CFR 1.16(e)	\$ 130.00
TOTAL OF ABOVE CALCULATIONS	\$ 130.00
Reduction by ½ for Filing by SMALL ENTITY . Note 37 CFR 1.9, 1.27, 1.28. If application, Verified Statement must be attached	\$65.00
Misc. Filing Fees (Recordation of Assignment)	\$.00
Extension Fee from Page 100
TOTAL FEES	\$65.00

METHOD OF PAYMENT OF FEES

 X A check in the amount of \$65.00
_____ Charge Deposit Account No. **12-2475** in the amount of \$ _____. A duplicate copy of this Transmittal is enclosed.

4. **AUTHORIZATION TO CHARGE FEES TO DEPOSIT ACCOUNT 12-2475**

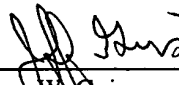
 XX The Commissioner is authorized to charge Counsel's Deposit Account No. 12-2475 for any fees required under 37 CFR 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account 12-2475.

Respectfully submitted,

LYON & LYON

Dated: _____

By: _____


Jeffrey W. Guise
Reg. No. 34,613

First Interstate World Center
633 West Fifth Street, Suite 4700
Los Angeles, CA 90071-2066
(619) 552-8400



2921



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/982,272	12/01/97	KIPPS	T 231/003

0222/0220

JEFFREY W GUISE
LYON & LYON
633 WEST FIFTH STREET
SUITE 4700
LOS ANGELES CA 90071-2066

NOT ASSIGNED

1642

DATE MAILED:

02/20/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted.

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 65.00 for a ☐ large entity ☒ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ large entity ☒ small entity (verified statement filed), is \$ 65.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

C. Moller
Customer Service Center
Initial Patent Examination Division (703) 308-1202